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Form PTO-1449 (REV. 8-83)	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. 109460 —	APPLICATION NO. New U..S. Patent Application
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT(S) Takahiro SUGIMOTO	
		FILING DATE May 14, 2001	GROUP

JC97B U.S. PTO 09/853708 05/14/01

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
PO		09/690,901	10-2000	Takahiro SUGIMOTO		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

74		COBIT steering Committee and Information Systems Audit and Control Foundation : COBIT Executive Summary 2nd Edition (1998) (http://www.isaca.org/execl.htm)
		ISO/IEC TR 13335-1-3 (GMITS) Technical Report (1996-1998)
74		GENERALLY-ACCEPTED SYSTEM SECURITY PRINCIPLES (GASSP) V2 (1999) (http://web.mit.edu/security /www/gassopl.html)
		Common Criteria V2 (http://csrc.nist.gov/cc/ccv20/ccv2list.htm)
74		"The Information Security Maturity Grid" Timothy R. Stacey Information System Security p. 22 Summer 1996

EXAMINER *Thomas Kg*	DATE CONSIDERED 6/26/05

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (REV. 8-83)	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. 109460	APPLICATION NO. 09/853,708
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Takahiro SUGIMOTO	
		FILING DATE May 14, 2001	GROUP 2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

✓	1	COBIT steering Committee and Information Systems Audit and Control Foundation : COBIT Executive Summary 2nd Edition (1998) (http://www.isaca.org/execl.htm).
✓	2	ISO/IEC TR 13335-1-3 (GMITS) Technical Report (1996-1998).
✓	3	GENERALLY-ACCEPTED SYSTEM SECURITY PRINCIPLES (GASSP) V2 (1999) (http://web.mit.edu/security/www/gassopl.html).
✓	4	Common Criteria V2 (http://csrc.nist.gov/cc/ccv20/ccv2list.htm).
✓	5	"The Information Security Maturity Grid" Timothy R. Stacey Information System Security p. 22 Summer 1996.

EXAMINER	*Thomas Ho*	DATE CONSIDERED 6/26/05

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August 12, 2004